



# Naval Facilities Engineering Command

## FFATA/FSRS Reporting

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- **What is this? –**

- **FFATA stands for the Federal Funding Accountability and Transparency Act of 2006**

- **FSRS stands for the FFATA Subaward Reporting System – the automated system where reporting as a result of FFATA takes place.**

## **–Federal Acquisition Regulation 4.1402.**

- **Contractors are to comply with the requirements of clause 52.204-10, “Reporting Executive Compensation and First-Tier Subcontract Awards.”**
- **Phased threshold; as of 1 March 2011 the threshold became all first tier subcontracts awarded under contracts and orders greater than or equal to \$25,000.**
- **At this point, virtually all contracts or orders at or above \$25,000 are covered by FFATA.**

- **What is required?**

- **Reporting of executive compensation.**

- **Several exclusions to reporting requirements**
    - **As a result of those exclusions, the reporting requirements impact a relatively small number of contracts and subcontracts.**

- **Reporting of subcontracts awarded by prime contractor.**

- **There are limited exclusions.**
    - **There is no reporting exclusion for small businesses.**
    - **Most orders/contracts where there have been subcontract awards greater than or equal to \$25,000 will need to report.**

# FFATA/FSRS Reporting



- **Executive compensation - FAR clause 52.204-10(c)(2).**
- **Contractor reports the executive compensation for each of the five most highly compensated executives for the contractor and/or first tier subcontractor IF in the preceding fiscal year the contractor and/or first tier subcontractor received:**
  - **80% or more of its annual gross revenues were from Federal contracts, subcontracts, grants, subgrants, loans AND,**
  - **\$25,000,000 or more in annual gross revenues from Federal contracts, subcontracts, grants, subgrants, loans AND,**
  - **If the public doesn't already have access to compensation information through reports filed with the SEC or IRS (not-for profits).**

# FFATA/FSRS Reporting



- **Subcontract reporting - FAR clause 52.204-10(c)(1).**
- **For each first tier subcontract with a value of \$25,000 or more, the contractor is to report subcontract information.**
- **Exceptions are at 52.204-10(c)(1)**
  - **If contractor in previous tax year had gross income from all sources of less than \$300,000, then the prime contractor is exempt from reporting requirements.**
  - **If subcontractor in previous tax year had gross income from all sources of less than \$300,000, then the prime contractor is exempt from reporting awards to that subcontractor.**

- **What needs to be reported?**
  
- **Executive compensation-**
  - **Name and total compensation for each of the five most highly compensated executives**
  
  - **For contractor, due by the end of the month following the month of contract award and annually thereafter.**
  
  - **For subcontractor, due by the end of the month following the month of award of first tier subcontract.**

- **What needs to be reported?**

- **Subcontracts—**

- **Name of subcontractor.**
- **Amount of subcontract award.**
- **A description of supplies or services being provided under the subcontract.**
- **Subcontract number.**
- **Subcontractor's physical address.**
- **Subcontractor's primary performance location.**
- **Prime contract number and order number.**
- **Awarding agency name and code.**
- **Funding agency name and code**

- **What needs to be reported?**
  
- **Subcontracts (cont'd)–**
  - **Government contracting office code.**
  - **Treasury Account Symbol (TAS) as reported in FPDS.**
  - **The applicable NAICS code.**
  
- **Note that only PRIME contractor reports in FSRS.**

# FFATA/FSRS Reporting



- **Subcontract and Executive Compensation Reporting to be done on FSRS**

- <https://www.fsrs.gov/>

- **New users will need to register in the FSRS system.**
  - You will need to have your DUNS number and CAGE code handy.
  - You will need to provide e-mail address, POC info.
  - You will receive a confirmation e-mail to complete registration.
  - There is a tutorial on the FSRS website. Highly recommended.

# FFATA/FSRS Reporting



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FSRS - Federal Funding Accountability and Transparency Act Subaward Reporting System - Microsoft Internet Explorer provided by

https://www.fsrs.gov/

File Edit View Favorites Tools Help

FSRS - Federal Funding Accountability and Transpare...

## Tutorial

## Click on "Awardees"

**Federal Funding Accountability and Transparency Act Subaward Reporting System (FSRS)** egov - USA.gov

**Log-In or Register Now:**  
FEDERAL GOVERNMENT AWARDEES  
Federal Government Users

Please use Internet Explorer 6.0+, Mozilla Firefox 2.0+, or Apple Safari 3.0+ to access FSRS.

About FSRS FAQs

### About FSRS

The Federal Funding Accountability and Transparency Act (FFATA) was signed on September 26, 2006. The intent is to empower every American with the ability to hold the government accountable for each spending decision. The end result is to reduce wasteful spending in the government. The FFATA legislation requires information on federal awards (federal financial assistance and expenditures) be made available to the public via a single, searchable website, which is [www.USASpending.gov](http://www.USASpending.gov).

The FFATA Subaward Reporting System (FSRS) is the reporting tool Federal prime awardees (i.e. prime contractors and prime grant recipients) use to capture and report subaward and executive compensation data regarding their first-tier subawards to meet the FFATA reporting requirements. Prime contract awardees will report against sub-contracts awarded and prime grant awardees will report against sub-grants awarded. The subaward information entered in FSRS will then be displayed on [www.USASpending.gov](http://www.USASpending.gov) associated with the prime award

**Documents**

**User Guides**  
» [FSRS Awardee Guide](#)

**Training Materials**  
» [FSRS Awardee User Demonstration](#)

**News**

**Question of the Month:**  
Who is required to file

29, 2010, FSRS.gov now supports both contracts and grants sub-award reporting. Prime awardees, [click here](#) to register or log-in.

**Viewer Software:**  
Some documents linked from this page are in PDF, Flash, or PowerPoint format. To view these files, you may need to download:  
» [Adobe Acrobat Reader](#)

https://www.fsrs.gov/government/

start | Industry forum 2011 | Microsoft PowerPoint ... | FSRS - Federal Fundi... | Inbox - Microsoft Out... | New Microsoft Office ... | pasoverview1 - Micros...

Internet | 100% | 14:19

# FFATA/FSRS Reporting



The screenshot shows a Microsoft Internet Explorer browser window displaying the FSRS registration page. The address bar shows the URL <https://fsrs-testsimplicity.com/?reg=rstep1>. The page content includes a warning: **THIS IS A TEST SYSTEM** and a note that the live system is at <https://www.fsrs.gov/>. There are two main sections: "returning awardees: login" and "new awardee: register". The "new awardee: register" section has a "Register" button highlighted with a pink box. A pink arrow points from the text "New account registration" to this button. Below the registration form are links for "Registration instructions for Awardees" and "Awardee User Guide". The browser's taskbar at the bottom shows several open applications, including "Industry forum 2011", "Microsoft PowerPoint", "FSRS - Federal Fundi...", "Inbox - Microsoft Out...", "New Microsoft Office...", and "paoverview1 - Micros...".

# FFATA/FSRS Reporting



- **Within FSRS, use awardee worklists to generate required reports. Using this function you can retrieve award information to generate reports and get them ready for submission.**
- **FSRS draws contract/order award information from FPDS-NG.**
- **Add contracts to your awardee worklist to generate reporting information.**

# FFATA/FSRS Reporting



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paoverview2 - Microsoft Internet Explorer provided by NMCI

https://webinars.simplicity.com/fsrs/paoverview/paoverview2.htm

File Edit View Favorites Tools Help

paoverview2

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FSRS Test - Internet Explorer provided by Dell

https://fsrs-tests.simplicity.com/index7&t

powered by simplicity

## Federal Funding Accountability and Transparency Act Subaward Reporting System (FSRS)

Home | Profile | Worklist | Create / Review Reports

Thursday, October 28, 2010 | 10:07 pm | test-123@simplicity.com | Log-in to eSRS | Logout

add award to worklist

alerts  
• No current alerts

awardee user guide

create FFATA report

announcements  
• **This is a sample Announcement!**  
Look for notifications from the FSRS system administrators here.

awardee user demonstration

For Help: [Federal Service Desk](#) | [Turn Accessibility Mode On](#) | [Awardee User Guide](#) | [FSRS Awardee User Demonstration](#) | [Resources Page](#) Version 3.2

Play

ADOBE CAPTIVATE

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Done

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# FFATA/FSRS Reporting



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paoverview3 - Microsoft Internet Explorer provided by NMCI

https://webinars.symlicity.com/fsrs/paoverview/paoverview3.htm

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paoverview3

FSRS Test - Internet Explorer provided by Dell

https://fsrs-test.symlicity.com/index?\_tab=list

Home | Profile | **Worklist** | Create / Review Reports

awardee worklist Thursday, October 28, 2010 | 10:34 pm | test-123@symlicity.com | Log-in to eSRS | Logout

My Worklist Contracts Not Added Grant Awards Not Added

**Note**

The "Worklist" is an organizational tool for convenience. It allows the prime awardee to organize the awards for which they have reporting responsibility. They can search for and add awards (both contracts and grants awarded to their registered DUNS number) to their work list from contracts reported in FPDS-NG and grants reported through the Agency's FAADS+ submissions to USASpending. They can create new FFATA subaward reports against those prime awards. They can view unassigned prime contracts and/or grants awarded to their registered DUNS number and add them to their worklist. There is no deviation in workflow between managing prime contracts and grants in the Awardee Worklist.

Click on the [Contracts Not Added](#) or [Grants Not Added](#) tab to view any contracts/awards in the system that match your DUNS # and have not been added to your worklist.

Search Filters

Items 1-5 of 5

Award Number	Top Level Agency	Agency	Type	Options
1001CT1401	HEALTH AND HUMAN SERVICES, DEPARTMENT OF	ADMINISTRATION FOR CHILDREN AND FAMILIES	grant	<input type="button" value="Remove"/>
1001DCCCDF	HEALTH AND HUMAN SERVICES, DEPARTMENT OF	ADMINISTRATION FOR CHILDREN AND FAMILIES	grant	<input type="button" value="Remove"/>
1004MA4004	HEALTH AND HUMAN SERVICES, DEPARTMENT OF	ADMINISTRATION FOR CHILDREN AND FAMILIES	grant	<input type="button" value="Remove"/>
GS0001 / GSB0A4834384	GENERAL SERVICES ADMINISTRATION	GENERAL SERVICES ADMINISTRATION	prime contract - task order	<input type="button" value="Remove"/> <input type="button" value="Existing Reports"/>
VA733C00009	VETERANS AFFAIRS, DEPARTMENT OF	VETERANS AFFAIRS, DEPARTMENT OF	prime contract	<input type="button" value="Remove"/> <input type="button" value="Existing Reports"/>

Items 1-5 of 5

Done

start | Industry forum 2011 | Microsoft PowerPoint ... | FSRS - Federal Fundi... | paoverview3 - Micros... | Inbox - Microsoft Out... | 13:31

# FFATA/FSRS Reporting



The screenshot shows a web browser window displaying the FFATA/FSRS reporting system. The browser title is "WDLRNX000560" and "Unclassified". The address bar shows "https://webinars.symlicity.com/fsrs/paoverview/paoverview3.htm". The page content includes a header for "Federal Funding Accountability and Transparency Act Subaward Reporting System (FSRS)" and a navigation menu with "Profile", "Worklist", and "Create / Review Reports". Below the header is a search filter section with fields for Agency, Date Created, Report Month, and Report Source. A table of reports is displayed with columns for Contract / Award Number, Agency ID, Agency Name, Status, Source, Report Month, and Options. The table contains five rows of data. A blue box with the text "Click here to edit" has a pink arrow pointing to the edit icon in the first row. A blue box with the text "Submitted and draft" has a pink arrow pointing to the "SUB" and "DRT" status cells in the second and third rows. The Windows taskbar at the bottom shows several open applications including "Industry forum 2011", "Microsoft PowerPoint", "FSRS - Federal Fundi...", "paoverview3 - Micro...", "Inbox - Microsoft Out...", and "New Microsoft Office...".

Click here to edit

Contract / Award Number	Agency ID	Agency Name	Status	Source	Report Month	Options
GS0001 / GSOA44834384	4700	GENERAL SERVICES ADMINISTRATION	SUB	Contract	Jan, 2010	Save PDF Copy Report
GS0001 / GSOA44834384	4700	GENERAL SERVICES ADMINISTRATION	DRT	Contract	-	Delete Copy Report
GS0001 / GSOA44834384	4700	GENERAL SERVICES ADMINISTRATION	DRT	Contract	Dec, 2009	Delete Copy Report
GS0001 / GSOA44834384	4700	GENERAL SERVICES ADMINISTRATION	DRT	Contract	Aug, 2010	Delete Copy Report
VA73300009	3600	VETERANS AFFAIRS, DEPARTMENT OF	SUB	Contract	Oct, 2010	Save PDF Copy Report

Submitted and draft

# FFATA/FSRS Reporting



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paoverview3 - Microsoft Internet Explorer provided by NMCI

https://webinars.symlicity.com/fsrs/paoverview/paoverview3.htm

File Edit View Favorites Tools Help

paoverview3

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FSRS Test - Internet Explorer provided by Dell

https://fsrs-test.symlicity.com/index?\_m\_mode=\_list

Favorites FSRS Test iGoogle

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## Federal Funding Accountability and Transparency Act Subaward Reporting System (FSRS)

Home | Profile | Worklist | Create / Review Reports

Thursday, October 28, 2010 | 10:39 pm | test-123@symlicity.com | Log-in to eSRS | Logout

### FFATA reports

# GS0001 / GSBOA4834384

[BACK TO REPORT LIST](#)

- Instructions
- Enter Contract #
- Contract Details
- Prime Award Details**
- Subawardee Data
- Review
- Submit Report

#### Prime Award Details

**Note:** Some fields have been pre-populated from data in FPDS-NG and CCR; please review the report to identify and complete required fields that have not been pre-populated. For specific data sources by data element, consult the data dictionary found in the Tips section.

Save & Go Back Cancel Save Save & Continue

#### FFATA Report For Contracts

\* indicates a required field

1. Prime Contract Number\*: GS0001

2. Delivery / Order Number\*: GSBOA4834384

3. Contracting Office Agency ID\*: 4700

#### Progress

- FFATA Details
  - ✓ Prime Contract Number
  - ✓ Delivery / Order Number
  - ✓ Contracting Office Agency ID
  - ✓ Contracting Office Agency Name
  - ✓ Contracting Office ID
  - ✓ Contracting Office Name
  - ✓ Funding Agency ID
  - ✓ Funding Agency Name
  - ✓ Funding Office ID
  - ✓ Funding Office Name
  - ✓ DUNS #
  - ✓ Vendor Name
  - ✓ Doing Business As Name
  - ✓ Organization/Business Type(s)
  - ✓ Vendor Physical Address
  - ✓ Parent DUNS of Vendor
  - ✓ Parent Vendor Name
  - ✓ Principal Place Of Performance (POP)
  - ✓ NAICS Code

- **Challenges noted so far –**

- **IDIQ contracts with initial task order less than \$25,000.**
- **Correcting reports once submitted.**
- **Can only have one FSRS FFATA sub-award report per prime contract or grant award number, including drafts, per Report Month.**
- **Final rule could result in changes.**
- **Review of reports by government.**

- Looking forward to comments from industry.

- **Questions?**